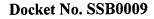
Dan





PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

William ORTNER, et al.

Art Unit:

3624

Serial No.:

10/628,208

Examiner:

Alain L. Bashore

Filed:

July 29, 2003

For:

METHOD AND SYSTEM FOR PROVIDING MANDATORILY

CONVERTIBLE SECURITIES WITH AN ASSOCIATED CALL SPREAD

AGAINST A TRUST

STATUS INQUIRY

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

Please indicate the status of the above-identified application. Specifically, please indicate when the first Office Action may be expected.

Date

10/12/05

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40984/284644 WSHLIB01:216542 Respectfully submitted,

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